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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/802,461	03/16/2004	Robert Diaz	2504098-991100

29585

GRAY CARY WARE & FREIDENRICH LLP
153 TOWNSEND
SUITE 800
SAN FRANCISCO, CA 94107

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JUN 07 2004

GRAY CARY
WARE & FREIDENRICH

CONFIRMATION NO. 4011

FORMALITIES LETTER



OC000000012829824

Date Mailed: 06/01/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/30/2004 GWKDDF1 00000022 071896 10802461 FILED UNDER 37 CFR 1.53(b)

01 FC:2051 65.00 DA

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

- \$65 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

N. Mohammed

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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**GRAYCARY**

153 Townsend Street, Suite 800
San Francisco, CA 94107-1907
www.graycary.com

OJ 415-836-2500
PJ 415-836-2501

FAX TRANSMISSION COVER SHEET**July 29, 2004**To:Telephone:Fax Number:

**Attn.: Missing Parts
United States Patent and Trademark
Office**

(703) 746-4060

**From: Nan Wu
415-836-2531**

Client-Mat er Number: 2504098-991100

Re: U.S. Patent Application No. 10/802,461

Group Art Unit: 3643

Confirmation No. 4011

Pages: - 14 - (including this form) Originals: ☐ will be mailed ☐ will not be mailed

If there is a problem with this transmission, please call (415) _____

Fax Operator/Ext. _____

Message:

Please see attached for filing in the above-identified U.S. patent application

RECEIVED
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CONFIDENTIALITY NOTICE

This communication is ONLY for the person named above. Unless otherwise indicated, it contains information that is confidential, privileged or exempt from disclosure under applicable law. If you are not the person named above, or responsible for delivering it to that person, be aware that disclosure, copying, distribution or use of this communication is strictly PROHIBITED. If you have received it in error, or are uncertain as to its proper handling, please immediately notify us by collect telephone and mail the original to us at the above address. Thank you.

(Form Rev. 6/5/00)

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Gray Cary\SF\3103092.1
2504098-991100



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PTO/SB/21 (09-03)

Approved for use through 07/31/2008. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	10/802,461
		Filing Date	March 16, 2004
		First Name Inventor	Robert Diaz
		Art Unit	3643
		Examiner Name	Unknown
Total Number of Pages In This Submission	13	Attorney Docket Number	2504098-991100

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input checked="" type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Paper(s) <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Copy of original notice 2. Power of Attorney 3. Facsimile Transmission
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Attn: Nan Wu (Reg. No. 43,360) Gray Cary Ware & Freidenrich LLP 153 Townsend Street, Suite 600, San Francisco, CA 94107
Signature	<i>[Handwritten Signature]</i>
Date	7/29/04

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Typed or printed name	Ta-Tanisha L. Moore	Date	July 29, 2004
Signature	<i>[Handwritten Signature]</i>		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, 153 Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JUL 29 2004

PTO/SB/17 (10-03)

Approved for use through 07/31/2008, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$65.00

Complete if Known

Application Number	10/802,461
Filing Date	March 16, 2004
First Name Inventor	Robert Diaz
Examiner Name	Unknown
Art Unit	3643
Attorney Docket No.	2504098-991100

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account

Deposit Account Number

07-1896

Deposit Account Name

Gray Cary Ware & Freidenrich

The Director is authorized to:

(check all that apply)

☐ Charge fee(s) indicated below☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 285	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 180	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** = 0	X	0.00
Multiple Dependent	-3** = 0	X	0.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 86	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 86	2204 43	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			(\$) 0.00

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	65.00
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 65	Non - English specification	
1812 2,520	1812 1,260	For filing a request for ex parte reexamination	
1804 820*	1804 410*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 920*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 850	2253 425	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 755	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1480 130	1480 65	Petitions to the Commissioner	
1807 50	1807 25	Processing fee under 37 CFR § 1.17(q)	
1806 180	1806 90	Submission of Information Disclosure Statement	
8021 40	8021 20	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 450	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 65.00

SUBMITTED BY

Name (Print/Type)

Ron Wu

Registration No. (Attorney/Agent)

43,360

Complete (if applicable)

Telephone

(415) 836-2500

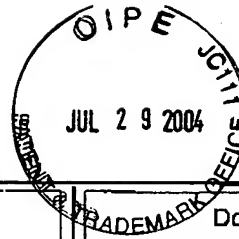
Signature

Date

7/29/04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22314-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22314-1450.


**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
2504098-991100

In Re Application Of: Robert Diaz

Serial No.
10/802,461

Filing Date
March 16, 2004

Examiner
Unknown

Group Art Unit
3643

Invention: BASE FOR EQUESTRIAN JUMP STANDARD

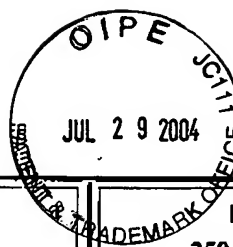
Mail Stop Missing Parts
TO THE COMMISSIONER FOR PATENTS:

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
June 1, 2004
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED)
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ _____ verified small entity declaration(s)
- ☐ is/are attached.
- ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☒ Other (list):

1. Transmittal Form
2. Fee Transmittal Form (x2)
3. Power of Attorney
4. Certificate of Transmission

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**Docket No.
2504098-991100

In Re Application Of: Robert Diaz

Serial No.
10/802,461Filing Date
March 16, 2004Examiner
UnknownGroup Art Unit
3643

Invention: BASE FOR EQUESTRIAN JUMP STANDARD

TO THE COMMISSIONER FOR PATENTS:Mail Stop Missing Parts

The fee of \$65.00 is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 07-1896.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 07-1896.

Signature

Dated:

Nan Wu (Reg. No. 43,360)
Gray Cary Ware & Freidenrich LLP
153 Townsend Street, Suite 800
San Francisco, CA 94107

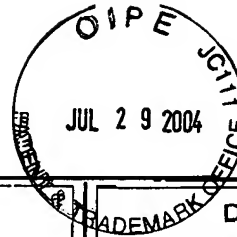
I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

See Facsimile Transmittal Letter

Typed or Printed Name of Person Mailing Correspondence

CC:


**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**
**Docket No.
2504098-991100**
In Re Application Of: Robert Diaz
**Serial No.
10/802,461**
**Filing Date
March 16, 2004**
**Examiner
Unknown**
**Group Art Unit
3643**
Invention: BASE FOR EQUESTRIAN JUMP STANDARD
TO THE COMMISSIONER FOR PATENTS:
Mail Stop Missing Parts
☒ **Completion of application fees as calculated below:**
☐ **Utility application filing fee** _____

☐ **Design application filing fee** _____

☐ **Total number of independent claims =** _____

☐ **Total number of claims =** _____

☐ **Multiple dependent claims** _____

☒ **Surcharge for late payment of filing fee and/or late filing of original declaration or oath** **\$65.00**
☐ **Petition and fee for filing by other than all the inventors or a person not the inventor** _____

☐ **Fee for processing an application filed with a non-English language specification** _____

☐ **Fee for processing and retention of application** _____

Total completion of application fees **\$65.00**

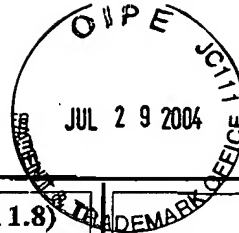
This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ **One month** ☐ **Two months** ☐ **Three months** ☐ **Four months** ☐ **Five months**
from: _____ **until:** _____
Date Date
Total time extension fees _____

Total fees due **\$65.00**

JUL 29 2004

009

**CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)**

Applicant(s): Robert Diaz

Docket No.

2504098-991100

Serial No.

10/802,461

Filing Date

March 16, 2004

Examiner

Unknown

Group Art Unit

3643

Invention: BASE FOR EQUESTRIAN JUMP STANDARD

I hereby certify that this Response to Notice to File Missing Parts of Application Filing Date Granted
(Identify type of correspondence)

is being facsimile transmitted to the United States Patent and Trade mark Office (Fax. No. (703) 746-4060

on

July 29, 2004
(Date)

Ta-Tanisha L. Moore

(Typed or Printed Name of Person Signing Certificate)

(Signature)

Note: Each paper must have its own certificate of mailing.

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P18/REV01